

Rockbridge Underwriting Agency Limited 3700 Buffalo Speedway, Suite 300 Houston, TX 77098 (713) 874-8800 (713) 874-8899 fax

Corporate Emergency Room/Ambulatory Care Underwriting Questionnaire and Application for Professional Liability Insurance

INTRODUCTION

Please answer all questions. If the information is not known or is to follow, please indicate. If the information requested is not applicable to your organization, indicate "N/A".

GENERAL INFORMATION	Name of Organization:					
	Address:Street					
	City	County	State Zip Code			
	Web Site:					
	Type of Ownership:	ation Partnership	☐ Solo Practitioner			
	Number of years under present ownership:					
	Contact Person for Billings:					
			()			
	Name Title	Phone #				
	Contact Person for Claims:					
			()			
	Name Title)	Phone #			
	Corporate Medical Director:					
	, n	lame				
	Proposed Inception Date:	<u>/ / </u>				
	Is Prior Acts coverage needed?	YES NO Retro Date:	<u>/ / _</u>			
	IF "YES", PLEASE COMPLETE THE PRIOR ACTS SUPPLEMENT.					
		PER OCCURRENCE	AGGREGATE			
	Requested Limits of Liability	\$	\$			
	Requested Deductible	\$	\$			
	Requested Self-Insured Retention	: \$	\$			

RUAL APP 91 01 05 Page 1 of 9

ORGANIZATIONAL LOCATIONS

Names and addresses of ALL locations for which insurance coverage is sought.

Location Name of Hospital Street, City, State	Annual Number of ED Visits Annual Number of Fast Track Visits	Number ED Hours/Year	if Free Standing or Clinic	Number Clinic Visits/Year	Number Clinic Hours/Year	Other Types of Operations/ Services	Location to be Covered/ Retro Date
1.							
2.							
3.							
4.							
5.							
6.							
7.							
8.							
9.							
10.							
11.							
What is the Trauma level(s) of the Emergency Department location(s) being covered?							
What is your average door to doctor time?							
What is your average length of stay in the ED for non-admitted patients?							
What is your average length of stay in the ED for admitted patients?							

RUAL APP 91 01 05 Page 2 of 9

ORGANIZATIONAL LOCATIONS (cont.)	Are any of the group's physicians medical directors of any EMS or other organization? ☐ YES ☐ NO If "YES," please attach list.						
	Is the adding of additional sites contemplated during the coming year: ☐ YES ☐ NO If "YES," please describe						
	Provide the following information for the past five years:						
	Fiscal Year Total # of ER Visits Total # of Clinic Visits			nic Visits			
	20						
	20						
	19						
	19						
	19						
	Current Pr	ofessional Liability Ins	ırance:				
PROFESSIONAL LIABILITY	Present In	surance Carrier:					
INSURANCE COVERAGE	Coverage ⁻	Type: 🗌 Occurre	nce [☐ Clai	ms Made		
	IF CLAIMS MADE, ATTACH COPY OF CURRENT POLICY.						
	Present Pre	emium:					
	Present Lin	nits of Liability \$	/ \$				
	Policy expiration date:/_/ Previous Professional Liability Insurance - past five years:						
	Policy Year	Insurance Carrier	Policy Limits		Policy Type	SIR/Deductible Amount	
	20						
	20				Occurrence		
				T	Occurrence		
	19			+	Claims Made Occurrence		
	19			- 	Claims Made Occurrence		
	19				Claims Made		
	Has any company refused coverage, canceled, or refused to renew any insurance?						
	☐ YES ☐ NO If Yes, please explain:						
	In the last five years, have claims or suits for any alleged malpractice ever been brought against the group, any of its employed or contracted physicians or paraprofessionals (whether or not affiliated with the group at the time of claim/suit)? YES NO						
	physicians a suit or cla	or paraprofessionals (whaim? ☐ YES ☐ NO	ether or not affiliated	d with t	the group at the time of	its employed or contracted fincident), that could lead to le all items reported to other	

RUAL APP 91 01 05 Page 3 of 9

List all insurance claims for each physician for the last five years. Use a separate sheet if necessary, or attach a copy of the loss report.

Physician's Name	Institution City/State	Allegation	Type of Injury	Date of Treatment Date of Claim	Status (Event, Claims, Suit)	Amounts Paid to Date	Amounts Reserved to Date	Name of Insurance Carrier
1.								
2.								
3.								
4.								
5.								
6.								
7.								
8.								

RUAL APP 91 01 05 Page 4 of 9

MEDICAL INDEPENDENT CONTRACTORS/EMPLOYEES	MEDICAL SPECIALTY	Number Full Time	Number Part Time		
	Anesthesiology				
	Family Practice				
	Emergency Medicine				
	Internal Medicine				
	Pathology				
	Pediatrics				
	Psychiatry				
	Radiology				
	Other				
	SURGICAL SPECIALTY	Number Full Time	Number Part Time		
	General				
	Neurosurgery				
	OB/GYN				
	Oral Surgery				
	Ophthalmology				
	Orthopedics				
	Plastic				
	Urology				
	Vascular/Thoracic				
	Are the physicians employed or contr	acted by the Named Insured?			
	What is your turnover rate for physicians that work for you?				
	Are references listed by new applicants checked in writing? YES NO				
	Are diplomas, licenses and other credentials for applicants verified prior to employment? YES NO				
	What percentage of physicians American Board Certified in Emergency Medicine?%				
	What percentage board certified in another specialty?				
	How many physicians are not American Board Certified in any medical specialty?				
	What percentage of physicians ATLS and ACLS certified?				
	Is the initial employment for a specified probationary period? YES NO If "Yes," what is the probationary period?				
	Does the organization have a formal physician peer-review process? YES NO				
	Is there a Risk Manager? YES	☐ NO If yes, please provide a	copy of his/her resume.		

RUAL APP 91 01 05 Page 5 of 9

	Do you have a formal, written risk management protocol? YES NO					
	Is credentialing done for all physicians? YES NO					
	Is credentialing done internally or by a third party?					
	How often does the Insured re-credential its physicians?					
	Provide credentialing policies and procedures (including copy of application new physicians are required to complete).					
	Are any non-physician professionals (employees/independent contractors) associated with your organization? YES NO If "Yes," please describe:					
	Have any of your physicians been involved in an impaired physician program for substance abuse or mental or nervous disorder? YES NO If "Yes," please attach details.					
	Have any of your physicians had a license sus suspended or revoked? ☐ YES ☐ NO If "Yes,					
Group Practice Policies	Please indicate if the policies and procedures listed below exist in written form and require mandatory compliance. If none exists, state the alternative or reason or plans to rectify on a separate sheet. Please explain all "no" answers on a separate sheet.					
		Yes	No			
1.	Triage Policy	0	0			
2.	Medical Screening Exam	0	0			
3.	Transfer (COBRA) Policy	0	0			
4.	Clinical Protocols	0	0			
5.	Patient Satisfaction Survey	0	0			
	Average Score					
6.	Claims Management	0	0			
	Incident Reporting	0	0			
	Report & Track Claims	0	0			
	Credentialing Protocols	0	0			
7.	Medical Records	0	0			
	Dictated	0	0			
	Dictated	0	0			
	Handwritten	0	0			
8.	If handwritten, are preformatted charts used?	0	0			
9.	Mechanism to handle Test Discrepancies:	0	0			
	Radiology	0	0			
	Lab	0	0			
	FKG					

RUAL APP 91 01 05 Page 6 of 9

CURRENT PHYSICIAN ROSTER

1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24.	
25	
26	
27.	
28.	
29.	
30	

RUAL APP 91 01 05 Page 7 of 9

PRIOR ACTS SUPPLEMENTARY INFORMATION

Name of Group (Insured):				
Requested Policy Term:				
NAMED GROUP AT SCHEDUI AND DOES NOT INCLUDE A	LED LOCATIONS SUBSEC ANY MOONLIGHTING OF JTSIDE OF THE GROUF	SICIANS <u>ONLY</u> FOR WORK PER QUENT TO THE RETROACTIVE R WORK PERFORMED OUTSID P CONTRACT AT SCHEDULEI	DATE SHOWN FOR E DE OF THE GROUP	ACH LOCATION, CONTRACT. IF
Is Prior Acts coverage request location and retroactive period		າ behalf of the Group, but at an ເ	inscheduled location?	If so, please list
LOCATION - CITY/STA	TE	START DATE	TERMINATIO	N DATE
Is Prior Acts coverage reques please provide the following:	ted for individual specific	physicians for work performed	outside of the group	contracts? If so,
PHYSICIAN'S NAME	RETROACTIVE DATE	LIMITS DURING RETROACTIVE PERIOD	SPECIALTY	LOCATION

RUAL APP 91 01 05 Page 8 of 9

CONDITIONS OF APPLICATION

By applying for Medical Malpractice Insurance from National Fire & Marine Insurance Company, I hereby:

- consent to the inspection by National Fire & Marine Insurance Company or their agents
 of all documents that may be material to an evaluation of the group's qualifications and
 competence.
- release from liability National Fire & Marine Insurance Company, their agents and any
 other individuals for acts performed and statements made in good faith and without
 malice in connection with evaluating this application and the group's qualifications;
- release from liability any and all individuals and organizations who provide information to National Fire & Marine Insurance Company, in good faith and without malice concerning the group's professional competence, ethics, character and other qualifications;

I understand that falsification or material inaccuracy of any part of the above information can result in the immediate cancellation of my policy, and that no claims shall be paid nor coverage provided in the event of such falsification or material inaccuracy.

I agree to be bound by the terms and conditions contained in the policy to be issued, in the event this application is approved.

I hereby certify that the above information is correct, and that I have no knowledge of any incidents, pending claims, or any other activities that might result in a claim other than those listed on this application. I authorize release and exchange of information involving underwriting or claims matters among insurance carriers.

	X
Date	Applicant's Signature

Signing this application does not bind National Fire & Marine Insurance Company or any other insurance carrier to complete the insurance. All information requested in this application is considered material and important. If National Fire & Marine Insurance Company agrees to be bound under the terms of this application, your policy is void if you withhold any information from us, or attempt to defraud or lie to us about any matter contained in this application.

RUAL APP 91 01 05 Page 9 of 9